



State of Connecticut
Department of Banking
Consumer Credit Division
260 Constitution Plaza, Hartford, CT 06103



**APPLICATION TO CONDUCT THE SMALL LOAN BUSINESS
ON THE SAME PREMISES AS CERTAIN OTHER BUSINESSES**

In accordance with the procedures established to permit the operation of a small loan business on the same premises as certain “preapproved” other businesses,

(Name of Small Loan Licensee)

(No.) (Street) (Town)

licensed under Chapter 668, Part III of the Connecticut General Statutes, hereby request approval from the Banking Commissioner pursuant to Section 36a-561 of the Connecticut General Statutes to operate its small loan business on the same premises as one or more of the following other businesses:

- [] (a) Purchase of retail instalment contracts resulting from the sale of goods in compliance with Chapters 668, Part II and 669, Part XI of the Connecticut General Statutes.

NAME UNDER WHICH THE BUSINESS IS TO BE CONDUCTED

- [] (b) Granting mortgage loans in excess of \$5,000 in accordance with Section 36a-570-15 of the Regulations of Connecticut State Agencies, including the purchase and sale of such mortgage loans.

NAME UNDER WHICH THE BUSINESS IS TO BE CONDUCTED

- [] (c) Financing of insurance premiums in conformity with the provisions of Chapter 688 of the Connecticut General Statutes.

NAME UNDER WHICH THE BUSINESS IS TO BE CONDUCTED

- [] (d) The sale of money orders and/or checks of a Connecticut licensed issuer and the receipt of a fee or commission from such sale.

NAME UNDER WHICH THE BUSINESS IS TO BE CONDUCTED

- [] (e) The preparation for a fee of income tax returns and supporting documentation, and the electronic filing of income tax returns.

NAME UNDER WHICH THE BUSINESS IS TO BE CONDUCTED

- [] (f) Granting loans, secured or unsecured, for business and commercial purposes in compliance with the applicable provisions of Chapter 673 of the Connecticut General Statutes, including inventory financing commonly known as “floor planning.”

NAME UNDER WHICH THE BUSINESS IS TO BE CONDUCTED

- [] (g) Granting loans in excess of \$5,000 for the purpose of financing the purchase of motor vehicles, recreational vehicles, and boats in compliance with the applicable provisions of Chapter 673 of the Connecticut General Statutes.

NAME UNDER WHICH THE BUSINESS IS TO BE CONDUCTED

- [] (h) Leasing of personal property in compliance, where applicable, with Regulation M issued by the Board of Governors of the Federal Reserve System.

NAME UNDER WHICH THE BUSINESS IS TO BE CONDUCTED

[] (i) Servicing (i.e., collection of payments, maintenance of records, accounting, data processing, delinquency control and similar services) of credit transactions which were originated under the authority of Sections (a)-(j) of this letter and which have been sold to another entity.

NAME UNDER WHICH THE BUSINESS IS TO BE CONDUCTED

[] (j) Operation of a “consumer reporting agency” or “credit rating agency” as those terms are defined in 15 USC 1681 and Chapter 669, Part V of the Connecticut General Statutes.

NAME UNDER WHICH THE BUSINESS IS TO BE CONDUTED

[] (k) The acquisition, from any duly appointed receiver, of loans, which were the assets of, failed depository institutions and/or the servicing of such loans on behalf of such receiver. Loans which comply with Chapter 668, Part III as to amount, rate, and term, may be acquired by the small loan licensee directly. Other acquisitions and servicing shall be by an affiliated entity.

NAME UNDER WHICH THE BUSINESS IS TO BE CONDUCTED

[] (l) The solicitation and referral of applications for credit card programs operated by credit card issuers having a corporate affiliation with the small loan licensee. No referral fee shall be assessed to applicants.

NAME UNDER WHICH THE BUSINESS IS TO BE CONDUCTED

[] (m) The solicitation of applications for automobile financing and referral to an entity that has a corporate affiliation with the small loan licensee. No referral fee shall be assessed to applicants.

NAME UNDER WHICH THE BUSINESS IS TO BE CONDUCTED

SIGNATURE OF THE SMALL LOAN LICENSEE

By: (Signature)

Name and Title (Print)

STATE OF

COUNTY OF

On this day of ,20 ,personally appeared

(Name and Title)

to me known, and known by me to be, the signer of the foregoing instrument, who being first duly sworn upon oath, deposes and says he/she has read, and knows the contents thereof, and that the alleged facts herein contained are true to his/her knowledge.

(Notary Public)
(Commissioner of the Superior Court)

My Commission Expires:

NOTE: If the applicant is a corporation or association, this instrument must be signed by the President, Vice President or Secretary of the applicant.

If the applicant is a partnership or LLC, this instrument must be signed by a general partner or member who is duly authorized to execute on behalf of the partnership or LLC, contracts, deeds and other instruments under seal.

Pursuant to Section 36a-561 of the Connecticut General Statutes, permission is hereby granted to the above named small loan license to operate its small loan business on the same premises as the businesses described above.

(Date)

Howard F. Pitkin
Banking Commissioner